

The Effect of Acquisition Resolution and Magnetic Field Strength on Multivariate Decoding of fMRI

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von : **Ayan Sengupta**

Gutachter

Jun.-Prof. Dr. Michael Hanke
Prof. Dr. David G. Norris

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Abstract

A decade after it was shown that the orientation of visual grating stimuli can be decoded from human visual cortex activity by means of multivariate pattern classification of BOLD fMRI data, numerous studies have investigated which aspects of neuronal activity are reflected in BOLD response patterns and are accessible for decoding. However, it remains inconclusive what are the effects of acquisition resolution and MR field strength on BOLD fMRI decoding analyses. This thesis is the first to provide empirical ultra high-field (7 Tesla) fMRI data recorded at four spatial resolutions (0.8 mm, 1.4 mm, 2 mm, and 3 mm isotropic voxel size) on this topic — in order to test the hypotheses on the strength and spatial scale of orientation discriminating signals. Here I present detailed analysis, in line with predictions from previous simulation studies, about how the performance of orientation decoding varies with different acquisition resolutions. This study also for the first time investigates the effect of MR field strength on orientation decoding by comparing classification performance across field strengths (7T vs 3T) in 1.4 mm, 2 mm, and 3 mm resolutions. The interplay between acquisition resolution and the time series signal to noise ratio contributing to the effective decoding is also highlighted in this thesis. The potential of using multiband data acquisition in multivariate decoding studies to provide fast EPI acquisitions with relatively low signal losses as compared to parallel imaging techniques has been shown here. Moreover, I also examine different spatial filtering procedures and its effects on multivariate decoding across different resolutions, across field strengths and in different primary sensory regions of the brain (visual and auditory cortex). Here I show that higher-resolution scans with subsequent down-sampling or low-pass filtering yield no benefit over scans natively recorded in the corresponding lower resolution. The orientation-related signal in the BOLD fMRI data is spatially broadband in nature, includes both high spatial frequency components, as well as large-scale biases previously proposed in the literature.

Moreover, I found above chance-level contribution from large draining veins to orientation decoding. Multi-resolution raw EPI data acquired at the 7 Tesla were publicly released to facilitate further investigation.

M.S. Ayan Sengupta

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quency components, as well as large-scale biases previously proposed in the literature. Moreover, I found above chance-level contribution from large draining veins to orientation decoding. Multi-resolution raw EPI data acquired at the 7 Tesla were publicly released to facilitate further investigation.

passfilterung keinen Vorteil gegenüber Scans ergeben, die nativ in der entsprechenden geringeren Auflösung aufgezeichnet wurden. Die orientierungsbezogenen Signale der BOLD fMRI-Daten sind räumlich von der Art Breitband, das sowohl hohe räumliche Frequenzkomponenten enthält, als auch niedrig-frequenten Signale. Außerdem fand ich einen signifikanten Beitrag von großen drainierenden Venen zur Orientierungsdecodierung. Die rohen EPI Daten in multipler Auflösung, die am 7T erworben wurden, wurden für weitere Untersuchungen öffentlich zur Verfügung gestellt.

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selection being to reduce the dimensionality of the data [Norman et al., 2006]. In the second step, *pattern assembly* is performed. As shown in Figure 1.2A, the time-series of the voxels after feature selection are sorted in a manner that at a particular time point, the activation intensity of the selected voxels are considered to be a pattern and it is subsequently labeled with the corresponding cognitive task being performed by the brain at that time. The dataset thus created is partitioned into training and testing sections. The training dataset consists of labeled patterns of activation of the voxels (shown in Figure 1.2B) and is provided as an input to a machine learning classification algorithm, and is known as *classifier training*. The classifier learns to map the voxel activities to the provided labels. Then this trained classifier is applied on the unlabeled testing dataset, and the *classification* procedure assigns a predicted label for each time point based on patterns of voxel activities. In the final step of *cross-validation* the predicted labels are verified with the true labels and the classification accuracy is determined by the following formula:

$$Accuracy = \frac{TP + TN}{p + n}$$

where $p = TP + FN$ and $n = TN + FP$. The true positive count is TP and TN is the true negative count, FP is the count of total number of false positives and FN as false negatives. Generally the accuracy of a classification is represented as a mean of accuracies generated in a *Leave-one-run-out cross-validation* scheme. In this cross-validation procedure, the MVPA dataset is partitioned into chunks corresponding to each experimental run. Data from one chunk are treated as a testing dataset and the rest is used as a training dataset. The cross-validation procedure is repeated until all the runs (chunks) are individually tested by the classifier.

Out of numerous machine learning classification algorithms, correlation based classifiers, linear discriminant analysis, linear support vector machines, Bayes classifiers, Radial basis function networks etc. have been used in the context of MVPA clas-

sen colors, with pairwise euclidean distances in the *Lab* color space (quantifying relative perceptual differences between any two colors) of at least 40. Each of these colored patches were plaided with a set of radially moving points. To improve the perceived contrast, the points were either black or white depending on the color of the patch on which the points were located. The lifetime of these points was set to 0.4 s, a new point at a random location was initialised after that. With every flicker, the color of the patches changed to its complementary luminance. Simultaneously, the color changed and the direction of movement of the plaided points also reversed.

Eccentricity encoding was implemented by a concentric flickering ring expanding and contracting across the visual field (0.95° of visual angle in width). The ring was not scaled with cortical magnification factor. The concentric ring traveled across the visual field in 16 equal steps, stimulating every location in the visual field for 2 s. After each cycle, the expanding or the contracting rings were replaced by new rings at the center or the periphery respectively.

Polar angle encoding was implemented by a single moving wedge (clockwise and counter-clockwise direction). The opening angle of the wedge was 22.5° . Similar to the eccentricity stimuli, every location in the visual field was stimulated for 2 seconds before the wedge was moved to the next position.

2.3.2 Center letter reading task

In order to keep the participants' attention focused and to minimize eye-movements, they performed a reading task. A black circle (radius 0.4°) was presented as a fixation point at the center of the screen, superimposed on the main stimulus. Within this circle, a randomly selected excerpt of song lyrics was shown as a stream of single letters (0.5° height, letter frequency 1.5 Hz, 85% duty cycle) throughout the entire length of a run. Participants had to fixate, as they were unable to perform the reading task otherwise. After each acquisition run, participants were presented with a question related to the previously read text. They were given two probable answers, to which they replied by

3.2.10 Spatial filtering strategies

In order to investigate how signal for orientation decoding is distributed across the spatial frequency spectrum, two different strategies for volumetric spatial filtering of the functional imaging data were implemented.

Gaussian smoothing Similar to Swisher et al. [2010], I used Gaussian filtering prior feature extraction for MVP analysis to estimate the spatial scale of the orientation specific signal. In the following, the size of the Gaussian filter kernel is described by its full width at half maximum (FWHM) in mm. Individual filters were implemented using the following procedure: *Low-pass* (LP) 3D Gaussian spatial filtering was performed with the `image_smooth()` function in the `nilearn` package [Pedregosa et al., 2011]. *High-pass* (HP) filtered images for a particular filter size were computed by subtracting the respective LP filtered image from the original, unfiltered image. *Bandpass* (BP) filtering was implemented by a Difference-of-Gaussians (DoG) filter [Alink et al., 2013]. Filtered images were computed by subtracting the LP filtered images for two filter sizes from each other. For example, an image for the “4-5 mm” band was computed by subtracting the 5 mm LP filtered image from the 4 mm LP filtered image. It is important to note that, due to the nature of the filter, the pass-band of a DoG filter is not as narrow as the filter-size label might suggest. Figure 3.5 illustrates the attenuation profile of an exemplary 4-5 mm DoG filter. However, for compactness and compatibility for previous studies [*e.g.*, Alink et al., 2013] I am characterizing DoG BP filters by the FWHM size of the underlying LP filters. The respective *band-stop* (BS) filtered image were computed by subtracting the corresponding BP filtered image from the original, unfiltered image.

Because of its prevalence in standard fMRI analysis pipelines, spatial filtering was always applied to the whole volume, prior to any masking. However, as this procedure can potentially introduce signal from outside an ROI, particularly with large-sized LP filters, I also performed a supplementary analysis where filtering was restricted to the V1 ROIs in each hemisphere to prevent information propagation by smoothing (see

signal across the entire diameter of the folded calcarine sulcus, whereas a smaller filter is not, and a bigger filter includes a substantial fraction of the surrounding white matter and adjacent cortical fields. If the above speculation is correct, I could expect lower decoding accuracy in the most informative band band when replacing the employed spatial filtering procedure with a cortical surface-based smoothing or a spatial filtering that is restricted to V1 ROIs in each hemisphere. I performed these two alternative analyses and found only minor differences in the results (see supplementary material Fig. 3.11). Similar to the report of Swisher et al. [2010], the band-pass, high-pass, low-pass components based on these alternative spatial smoothing schemes perform very similar, but more evenly sloped than what was obtained from the unconstrained volumetric filtering. Except for the 0.8 mm data, where the insufficient signal is even more evident, the BP performance is extremely similar. Consequently, I find little evidence for an impact of using standard, unmasked, volumetric spatial filtering for this decoding analysis.

Venous voxels in V1 ROI contribute above chance classification of orientation gratings

Several authors have cited an orientation-related BOLD signal originating from the vascular system (draining veins) as a potential information source for decoding that may introduce spatial biases in the representation of orientation as measured with fMRI [Chaimow et al., 2011, Kriegeskorte et al., 2010, Shmuel et al., 2010]. The present results confirm the presence of such a signal. Particularly for the two highest resolutions tested here the decoding accuracy obtained from voxels sampling veins is equal to the performance obtained from the non-venous rest of the V1 ROI, or even outperforms it when controlling for the number of input voxels for the classification model (Fig. 3.13A).

A BOLD signal originating in the blood vessels has the potential to introduce complex transformations of the spatial representation of orientation in the BOLD response patterns. Due to the structural properties of the vascular system this signal

is likely to be of lower spatial frequency, compared to the underlying neuronal activation pattern, and is superimposed on a potential high-frequency pattern reflecting the columnar structure of V1. This explanation has been put forth by Kriegeskorte et al. [2010] who describe voxels as “complex spatio-temporal filters” and my results are compatible with this model.

It should also be mentioned that previous studies found a substantial reduction of intra-vascular BOLD signals at higher magnetic field strength [Yacoub et al., 2001], and enhanced signal contributions from microvascular structures at 7T [Shmuel et al., 2007]. Consequently, the particular composition of the compound signal captured with BOLD fMRI will vary with the magnetic field strength. A future study should compare the present results with data acquisitions at a different field strength to shed more light on nature of the underlying signal and the implications for decoding analysis.

Limitations The focus of the present study was to investigate the effect of acquisition resolution and spatial filtering on the decoding of visual orientations from primary visual cortex. In order to yield comparable results, the acquisition parameters were constrained to guarantee a certain minimum coverage of the V1 ROI even at the highest resolutions and to have an identical temporal sampling frequency (TR) to yield the same number of observations across all resolutions. This choice implied that the GRAPPA acceleration factor had to be increased with increasing resolution, hence leading to an increased under-sampling of the k-space with higher resolutions. This could impact the sensitivity of the scan to high-frequency spatial signals. A future study will have to test whether the present findings hold when constraints on coverage and sampling frequency are relaxed. For example, a study by De Martino et al. [2013] using a 3D gradient and spin echo (GRASE) sequence suggests that such a sequence outperforms a gradient echo sequence, such as the one employed in this study, for high-resolution imaging at 0.8 mm isotropic resolution — at the expense of a vastly reduced scan volume.

The present study is exclusively based on 7 Tesla fMRI data, hence it remains

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Appendices

A. Data Availability

The empirical ultra high-field fMRI dataset recorded at four spatial resolutions (0.8 mm, 1.4 mm, 2 mm, and 3 mm isotropic voxel size) for orientation decoding in visual cortex — in order to test hypotheses on the strength and spatial scale of orientation discriminating signals are openly accessible from the *OpenfMRI* portal (dataset accession number: ds000113c) in *BIDS* (Brain Imaging Data Structure) format.

A.1 Data Specifications Table

Subject area	Neuroimaging
More specific subject area	Early visual system
Type of data	Ultra High Field (7 Tesla) BOLD fMRI
Data format	Raw and distortion corrected BOLD fMRI data stored in compressed NIFTI format; BIDS-compliant
Experimental factors	Acquisition resolution (within-subject factor; 0.8 mm, 1.4 mm, 2 mm, and 3 mm isotropic voxel size)
Data source location	Magdeburg, Germany
Data accessibility	Data available at <i>OpenfMRI</i> portal (dataset accession number: ds000113c), as well as Github/ZENODO (DOI: 10.5281/zenodo.46756).

A.2 Value of the data

- first publicly available dataset to provide ultra high-field, multi-resolution BOLD fMRI data for a uniform stimulation paradigm targeting the representation of visual orientations in early visual cortex

- compliant with the brain imaging data structure (BIDS) standard, hence highly suitable for automated processing
- potent dataset for optimization and benchmarking of algorithms, such as pattern classification and feature extraction
- flexible and unrestricted data access down to the level of individual files facilitate cloud-based analysis and utilization in (web-based) demonstrations

A.3 Data structure and usage information

This dataset is compliant with the Brain Imaging Data Structure (BIDS) specification [Gorgolewski et al., 2015], which is a new standard to organize and describe neuroimaging and behavioral data in an intuitive and common manner. Data are shared in documented standard formats, such as NIfTI or plain text files, to enable further processing in arbitrary analysis environments with no imposed dependencies on proprietary tools. Extensive documentation of this standard is available at <http://bids.neuroimaging.io>. This section provides information about the released data, but limits its description to aspects that extends the BIDS specifications. For a general description of the dataset layout and file naming conventions, the reader is referred to the BIDS documentation. In summary, all files related to the data acquisitions for a particular participant described in this manuscript can be located in a `sub- \langle ID \rangle /ses-r \langle RES \rangle /` directory, where ID is the numeric subject code, and RES is a two-digit acquisition resolution identifier.

In order to de-identify data, information on center-specific study and subject codes have been removed using an automated procedure. All human participants were given integer IDs that are consistent across all other data releases of the *studyforrest* project [Hanke et al., 2016, 2014, 2015b, Sengupta et al., 2016].

All data are made available under the terms of the Public Domain Dedication and License (PDDL; <http://opendatacommons.org/licenses/pddl/1.0/>). All

source code is released under the terms of the MIT license (<http://www.opensource.org/licenses/MIT>). In short, this means that anybody is free to download and use this dataset for any purpose as well as to produce and re-share derived data artifacts. While not legally required, we hope that all users of the data will acknowledge the original authors by citing this publication and follow good scientific practise as laid out in the ODC Attribution/Share-Alike Community Norms (<http://opendatacommons.org/norms/odc-by-sa/>).

Participant demographics

A plain text table (`participants.tsv`) contains basic demographics for each participant: gender, age group (five-year bin size), and self-reported handedness.

fMRI data

fMRI data are provided in two flavors: raw (`*run-??_bold.nii.gz`) and distortion-corrected (`*rec-dico_run-??_bold.nii.gz`). While raw BOLD data are suitable for further analysis, they suffer from severe geometric distortions. Distortion correction was applied using an online procedure [In and Speck, 2012] and the resulting data represents the primary data type for further analysis.

Motion estimates

Data motion correction was performed scanner-side as part of the distortion correction procedure, and the associated motion estimates are provided in a whitespace-delimited 6-column text file (`*motion_physio.tsv.gz`; translation X, Y, Z in mm, rotation around X, Y, Z in deg) with one row per fMRI volume for each acquisition run separately.

Stimulus timing

Stimulation timing information for each acquisition run is provided in corresponding `*_events.tsv` files. These four-column text files describe the `onset` and `duration` of

a stimulus trial (in seconds from the acquisition run start) and identify the associated stimulus orientation (in deg) presented in the left (`lh_orientation`), and in the right hemifield (`rh_orientation`). A stimulus orientation label of `none` indicates that no stimulus was present in the respective trial (unilateral stimulation).

Auxilliary scans to facilitate alignment

Data for the additional fMRI acquisition with enhanced spatial coverage at 0.8 mm resolution is provided in `*task-coverage*` files. These images can be used to aid alignment of high-resolution BOLD images with limited coverage to other functional or structural images.

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Declaration of Originality

I hereby declare that I have authored this thesis titled *The Effect of Acquisition Resolution and Magnetic Field Strength on Multivariate Decoding of fMRI* independently, that I have not used other than the declared sources/resources, and that I have explicitly marked all material which has been quoted either literally or by content from the used sources. Additionally, this work has neither been used by myself nor by anybody else to attain any academic degree.

Name

Magdeburg,

Date

Signature

Eigenständigkeitserklärung

Hiermit erkläre ich, dass ich die von mir eingereichte Dissertation zum dem Thema *The Effect of Acquisition Resolution and Magnetic Field Strength on Multivariate Decoding of fMRI* selbständig verfasst, nicht schon als Dissertation verwendet habe und die benutzen Hilfsmittel und Quellen vollständig angegeben wurden.

Weiterhin erkläre ich, dass ich weder diese noch eine andere Arbeit zur Erlangung des akademischen Grades doctor rerum naturalium (Dr. rer. nat.) an anderen Einrichtungen eingereicht habe.

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Magdeburg, am

Datum

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